## WAZ3 Rec'd PCT/PTO 03 APR 2006

Transmittal Letter To The United States
Designated/Elected Office (DO/EO/US) Concerning A
Filing Under 35 U.S.C. 371

Attorney Docket: 27330U

U.S. Application 15.7450 Not Yet Assended 7450

International Application: PCT/IB2005/002168

International Filing Date:
18 July 2005

Priority Date Claimed: 23 July 2004

Title of Invention: AXIAL IMPELLER WITH ENHANCED FLOW

Applicants for DO/EO/US: SPAGGIARI, Alessandro

Applicant hereby submits to the Unites States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3.  $\underline{X}$  This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. X The US has been elected (Article 31).
- 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
  - a.  $\underline{X}$  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. has been communicated by the International Bureau.
  - c. \_ is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6.  $\frac{X}{2}$  A English translation of the International Application as filed (35 U.S.C. 371(c)(2).
- 7.  $\underline{X}$  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  $\underline{\phantom{a}}$  are attached hereto (required only if not transmitted by the International Bureau).
  - b. have been communicated by the International Bureau.
  - c. have not been made; however, the time limit for making such amendments has NOT expired.
  - d. X have not been made and will not be made.
- 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:

- 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12.  $\underline{X}$  An assignment document in favor of <u>SPAL AUTOMOTIVE S.r.l.</u> of Correggio, ITALY for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. X A FIRST preliminary amendment.
- 14. A SECOND or SUBSEQUENT preliminary amendment
- 15. A substitute specification.
- 16. A change of power of attorney and/or address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 1825
- 18.  $\_$  A second copy of the published international application under 35 USC 154(d)(4)
- 19. A second copy of the English language translation of the international application under 35 USC 154(d)(4)

International Application: Attorney Docket: 27330U U.S Not PCT/IB2005/002168 Other items or information: Transmittal Letter; International Publication 20. X Number: WO 2006/011036 A1; International Publication Date: 2 February 2006, which consists of 22 pages: 1 page for the Cover Sheet, with Abstract, 10 pages of Textual Specification, 1 page of Reference Characters, 6 pages of 21 Claims, 4 sheets of Drawings; Preliminary Amendment, with Attachment A, to be Examined; Executed Declaration and Power of Attorney; Executed Assignment, with a Recordation Cover Sheet; Information Disclosure Statement; PTO Form-1449, with 4 cited References; Copies for 3 of the 4 cited References; PCT/ISA/210 (International Search Report); PCT/ISA/237 (Written Opinion of the International Searching Authority); PCT/IB/301 (Notification of Receipt of Record Copy); PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority Document); PCT/IB/308 (First Notice Informing the Applicant of the Communication of the International Application); PCT/RO/101 (Request); Postcard for Early Notification of the Serial Number Request. CALCULATION The following fees are submitted: PTO USE ONLY 300.00 21. X Basic National Fee (37 CFR 1.492(a))..... \$ 300.00 22. X Examination Fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$ 0.00 All other situations ......\$ 200.00 200.00 23. X Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$ 0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ......\$ 100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to 400.00 the US by the IB .....\$ 400.00 All other situations ......\$ 500.00 Total of 21, 22 and 23 900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof. # of each RATE Total Sheets Extra Sheets additional 50 0/50 =X \$250 \$ 0.00 31-100 = Surcharge of \$130.00 for furnishing the oath or declaration 0.00 later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)). # EXTRA RATE CLAIMS # FILED 21-20= X \$50 \$ 50.00 TOTAL 1 X \$200 \$ 0.00 n 1-3= INDEPENDENT Multiple dependent claims (if applicable) \$ 0.00 + \$360 950.00 TOTAL OF ABOVE CALCULATIONS =  $\underline{X}$  Applicant claims small entity status. Fees above are reduced by 2. \$ 475.00 SUBTOTAL = 0.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). 475.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover \$ 40.00 \$40.00 per property + sheet (37 CFR 3.28, 3.31). 515.00 TOTAL FEES ENCLOSED = Amount to be: refunded Amount to be: charged \$

Not Yet Assigned 4501

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- a.  $\underline{X}$  Two checks in the amounts of  $\underline{\$}$  475.00 and  $\underline{\$}$  40.00 to cover the above fees are enclosed.
- b. \_ Please charge my Deposit Account Number: 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c.  $\underline{X}$  The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. \_ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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# IAP15 Rec'd PCT/PTO Q3 APR 2006

Attorney Docket: 27330U Date: April \_3\_\_, 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SPAGGIARI

Art Unit: Unknown Examiner: Unknown

International Application: PCT/IB2005/002168 International Filing Date: July 18, 2005 AXIAL IMPELLER WITH ENHANCED FLOW Title:

### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning 1) Filing under 35 U.S.C. 371;

International Publication Number: WO 2006/011036 A1; International Publication Date: 2 February 2006, which consists of 22 pages: 2)

1 page for the Cover Sheet, with Abstract,

10 pages of Textual Specification,

1 page of Reference Characters,

6 pages of 21 Claims,

4 sheets of Drawings;

- Preliminary Amendment, with Attachment A, to be Examined; 3)
- Executed Declaration and Power of Attorney; 4)
- Executed Assignment, with a Recordation Cover Sheet;
- Information Disclosure Statement;
- PTO Form-1449, with 4 cited References; 7)
- Copies for 3 of the 4 cited References;
- PCT/ISA/210 (International Search Report);
- PCT/ISA/237 (Written Opinion of the International Searching Authority); 10)
- PCT/IB/301 (Notification of Receipt of Record Copy); 11)
- PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority 12) Document);
- PCT/IB/308 (First Notice Informing the Applicant of the Communication of 13) the International Application);
- PCT/RO/101 (Request); 14)
- Check No.: 1907 in the Amount of \$ 475.00 for the Basic Filing Fee, 15) which includes the Search and Examination Fees, as a Small Entity (\$450), with 1 Extra Claim over 20 Total Claims (\$25);
- Check No.: 1904 in the Amount of \$40.00 for the Recordation of the 16) Assignment Document;
- Postcard for Early Notification of the Serial Number Request. 17)

Respectfully submitted, NATH (5/ ASSOCIATES)

gary M. Nath, Reg. No. 26,965 Jerald L. Meyer, Reg. No. 41,194

Customer No. 20529

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GMN/JLM/le